

FAX COVER SHEET
DILWORTH PAXSON LLP

3200 Mellon Bank Center
1735 Market Street
Philadelphia, PA 19103
U.S.A.

Telephone: (215) 575-7000

Fax: (215) 575-7200

PLEASE DELIVER IMMEDIATELY!

DATE: September 12, 2006

	RECIPIENT(S) NAME	FAX NUMBER:	COMPANY:
TO:	Examiner Hani Kazimi	(571) 273-6745	United States Patent and Trademark Office
FROM:	John W. Goldschmidt Jr.		
NO. OF PAGES:	60		
CLIENT / MATTER:	22830-67061		
USER ID NUMBER:	1181		
COMMENTS:	Re: U.S. Patent Application No. 09/588,389 Title: METHOD, APPARATUS AND COMPUTER-BASED PROGRAM FOR EXCLUSIVE INVESTMENT OF DEBENTURE CREDIT Inventor: William Walsh Attorney Docket No. 05-1076		

Dear Examiner Kazimi:

Enclosed is a copy of the RCE in the above-identified application which was filed by facsimile transmission on June 13th and June 14th, 2006. Also enclosed is the Auto-Reply Facsimile Transmission which was received by our office and confirms the June 14th filing. Please confirm receipt of this facsimile transmission. Thank you again for your assistance with this matter.

Respectfully submitted,

John W. Goldschmidt, Jr. (Reg. No. 34,828)

This will be the only form of delivery of the transmitted document(s).
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IF YOU HAVE ANY PROBLEMS RECEIVING THIS MESSAGE OR DO NOT RECEIVE ALL OF THE PAGES, PLEASE CALL (215) 575-7000.

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THANK YOU.

DILWORTH PAXSON LLPDIRECT DIAL NUMBER:
(215) 575-7181

LAW OFFICES

JOHN W. GOLDSCHMIDT, JR.
jgoldschmidt@dilworthlaw.com

June 14, 2006

VIA FACSIMILE (571) 273-8300
MAIL STOP RCE
Commissioner for Patents
P.O. 1450
Alexandria, VA 22313-1450

RE: U.S. Patent Application Serial No.: 09/588,389
Title: METHOD, APPARATUS AND COMPUTER-BASED PROGRAM FOR
EXCLUSIVE INVESTMENT OF DEBENTURE CREDIT
Filed: June 6, 2000
Applicant: William F. Walsh
Our Reference: 05-1076

Dear Sir/Madam:

In reference to the above-captioned application, transmitted herewith are the following:

1. Transmittal Cover Letter Dated June 13, 2006 (1 page);
2. Request for Continued Examination (RCE) Transmittal (1 page);
3. Fee Transmittal (1 page);
4. Amendment including Substitute Specification (50 pages);
5. Petition for Extension of Time (1 page);
6. Supplemental Application Data Sheet (2 pages); and
7. Credit Card Payment Form (1 page).

At the recommendation of Examiner Vincent Millin, Applicant is resubmitting the enclosed documents in response to the Official Action dated March 9, 2006 (originally submitted on June 13, 2006 to facsimile number (571) 273-6740), to the Group fax number (i.e., (571) 273-8300) on the date set forth below. Applicant respectfully requests a courtesy of telephone call if there are any questions regarding this submission.

The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment related to this submission to Deposit Account Number 50-0979.

Respectfully submitted,


John W. Goldschmidt, Jr.
Registration No. 34,828JWG/msm
EnclosuresCERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and all papers and things referred to herein as being attached, enclosed, transmitted, or otherwise submitted, are being facsimile transmitted to the United States Patent and Trademark Office at (571)-273-8300 on the date set forth below.


Megumi S. McKee

Date: June 14, 2006

3200 MELLON BANK CENTER • 1735 MARKET STREET • PHILADELPHIA PA 19103-7595
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Auto-Reply Facsimile Transmission



TO:

Fax Sender at 12155757200

Fax Information

Date Received:

6/14/2006 3:22:04 PM [Eastern Daylight Time]

Total Pages:

59 (including cover page)

ADVISORY: This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

Received
Cover
Page

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JUN. 14. 2006 3:25PM DILWORTH PAXSON LLP		NO. 752 P. 1/59	
FAX COVER SHEET			
DILWORTH PAXSON LLP			
3200 Mellon Bank Center 1735 Market Street Philadelphia, PA 19103 U.S.A.			
Telephone: (215) 575-7000 Fax: (215) 575-7200			
PLEASE DELIVER IMMEDIATELY!			
DATE:	June 14, 2006		
TO:	RECIPIENT(S) NAME MAIL STOP PCS Commissioner for Patents	FAX NUMBER: (971) 273-8300	COMPANY: United States Patent and Trademark Office
FROM:	John W. Geldschmidt Jr.		
NO. OF PAGES:	59		
CLIENT / MATTER:	22830-67061		
USER ID NUMBER:	1181		
COMMENTS:			
<div style="border: 1px solid black; padding: 5px; margin: 10px auto; width: 80%;"> This will be the only form of delivery of the transmitted document(s). </div>			
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THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW.			
PAGE 159 * RCVD AT 6/14/2006 3:22:04 PM [Eastern Daylight Time] * SVR:USPTO-EFXXF-3/20 * DNIS:2738300 * CSID:12155757200 * DURATION (mm-ss): 16-32			

DILWORTH PAXSON LLPDIRECT DIAL NUMBER:
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LAW OFFICES

JOHN W. GOLDSCHMIDT, JR.
jgoldschmidt@dilworthlaw.com

June 13, 2006

VIA FACSIMILE (571) 273-8300
MAIL STOP RCE
Commissioner for Patents
P.O. 1450
Alexandria, VA 22313-1450

RE: U.S. Patent Application Serial No.: 09/588,389
Title: METHOD, APPARATUS AND COMPUTER-BASED PROGRAM
FOR EXCLUSIVE INVESTMENT OF DEBENTURE CREDIT
Filed: June 6, 2000
Applicant: William F. Walsh
Our Reference: 05-1076

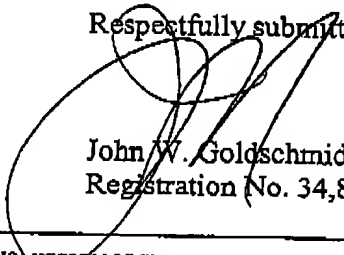
Dear Sir/Madam:

In reference to the above-captioned application, transmitted herewith are the following:

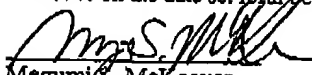
1. Request for Continued Examination (RCE) Transmittal (1 page);
2. Fee Transmittal (1 page);
3. Amendment including Substitute Specification (50 pages);
4. Petition for Extension of Time (1 page);
5. Supplemental Application Data Sheet (2 pages); and
6. Credit Card Payment Form (1 page)

The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment related to this submission to Deposit Account Number 50-0979.

Respectfully submitted,


John W. Goldschmidt, Jr.
Registration No. 34,828JWG/msm
EnclosuresCERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and all papers and things referred to herein as being attached, enclosed, transmitted, or otherwise submitted, are being facsimile transmitted to the United States Patent and Trademark Office at (571)-273-6740 on the date set forth below.


Megumi S. McKeeverDate: June 13, 2006

3200 MELLON BANK CENTER • 1735 MARKET STREET • PHILADELPHIA PA 19103-7595
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PTO/SB/17 (01-06)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4618).

FEE TRANSMITTAL
For FY 2006**Complete if Known**☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$)**1,130.00**

Application Number	09/588,389
Filing Date	June 6, 2006
First Named Inventor	William F. Walsh
Art Unit	3624
Examiner Name	Kelly Scaggs Campen
Attorney Docket Number	05-1076 (formerly 22830-67061)

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):
☒ Deposit Account Deposit Account Number: **50-0979** Deposit Account Name: **Dilworth Paxson LLP**
 For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayment of fee(s) ☒ Credit any overpayments
 Under 37 CFR 1.16 and 1.17

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FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Small Entity	Small Entity	Small Entity	Small Entity	Small Entity	Small Entity	
Utility	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	
	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
28	-20 or HP= 3	25	\$75.00

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
10	-3 or HP= 6	100.00	\$600.00

HP = highest number of total claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41 (a)(1)(C) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number)	x	=

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): RCE fee and Petition Fee for Extension of Time

Fee Paid (\$)
\$455.00

SUBMITTED BY

SIGNATURE

REGISTRATION NO.
(Attorney/Agent)

34,828

Telephone (215) 575-7000

Name (Print/Type) John W. Goldschmidt, Jr.

Date June 13, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and selection option 2.

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